

SUBSTITUTE FORM PTO-1449
(MODIFIED)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET
07977/107001

SERIAL NO.
08/769,371

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use several sheets if necessary)

APPLICANT:
Yamazaki, et al.

FILING DATE
December 19, 1996

(37 CFR 1.98(b))

GROUP
2812

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ON	AA	4	1	0	3	2	9	7	07/25/78	McGreivy, et al.	340	324	
ON	AB	4	2	3	9	3	4	6	12/16/80	Lloyd, Randahl B.	350	334	
ON	AC	4	6	8	0	5	8	0	07/14/87	Kawahara, Yukito	340	784	
ON	AD	4	8	1	8	0	7	7	04/04/89	Ohwada, et al.	350	350	
ON	AE	4	9	3	8	5	6	5	07/03/90	Ichikawa, Shouji	350	332	
ON	AF	4	9	4	9	1	4	1	08/14/90	Busta, Heinz H.	357	23.7	
ON	AG	5	0	0	3	3	5	6	03/26/91	Wakai, et al.	357	4	
ON	AH	5	0	1	2	2	2	8	04/30/91	Masuda, et al.	340	702	
ON	AI	5	0	5	1	5	7	0	09/24/91	Tsujikawa, et al.	250	201.1	
ON	AJ	5	0	5	5	8	9	9	10/08/91	Wakai, et al.	357	23.7	
ON	AK	5	0	5	6	8	9	5	10/15/91	Kahn, Frederic J.	359	87	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
ON	AL	1-156725	06/20/89	Japan	4		X	
ON	AM	55-32026	1980	Japan				X
ON	AN	61-141174	1986	Japan				X
ON	AO	1-283839	1989	Japan				X
ON	AP	59-72745	1984	Japan				X

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

ON	AQ	Kim, et al.; 4.4: Planarized Black Matrix on TFT Structure for TFT-LCD Monitors; 1997; Kiheung, Korea; SID 97 DIGEST, pp. 19-22
	AR	
	AS	

EXAMINER

ON

DATE CONSIDERED

1/23/07

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		FILING DATE December 19, 1996	GROUP 2812

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
02	BA	5 0 8 4 9 0 5	01/28/92	Sasaki, et al.	357	71	
02	BB	5 3 2 7 0 0 1	07/05/94	Wakai, et al.	257	350	
02	BC	5 4 9 5 3 5 3	02/27/96	Yamazaki, Shunpei	359	54	
02	BD	5 6 1 2 7 9 9	03/18/97	Yamazaki, Shunpei	349	42	
02	BE	5 6 4 1 9 7 4	06/24/97	den Boer, et al.	257	59	
02	BF	5 4 9 9 1 2 3	3/12/96	H. Mikoshiba	359	59	
02	BG	5 3 7 1 3 9 8	12/6/94	Y. Nishihara	257	435	
02	BH	4 6 1 8 8 7 8	10/21/86	Aoyama, et al.	357	71	
02	BI	4 8 5 3 7 6 0	8/1/89	Abe, et al.	357	52	
02	BJ	5 6 0 4 3 8 0	2/18/97	Nishimura, et al.	257	758	
02	BK	5 1 1 7 2 7 8	5/26/92	Bellersen, et al.	357	72	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

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EXAMINER 02	DATE CONSIDERED 1/23/02
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SUBSTITUTE FORM PTO-1449 (MODIFIED)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 07977/107001	SERIAL NO. 08/769,371
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Yamazaki, et al.	
		FILING DATE December 19, 1996	GROUP 2812

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ON	AA 5 4 9 5 3 5 3	2/27/96	Yamazaki, et al.	359	54	
ON	AB 5 3 2 7 0 0 1	7/5/94	Wakai, et al.	257	350	
	AC					
	AD					
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	AJ					

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
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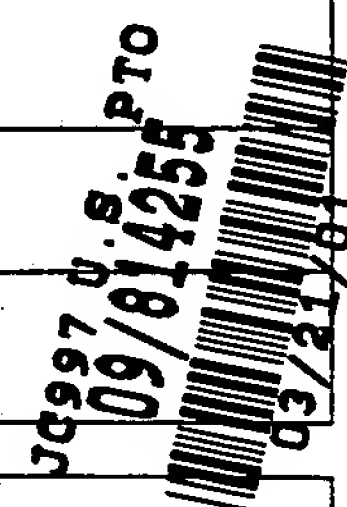
OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-107001	Application No. 08/769,371
	Applicant Shunpei Yamazaki, et al.		
	Filing Date December 19, 1996	Group Art Unit 2812	



U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
DN	AA	5,002,464	Mar. 26, 1991	Lee			
DN	AB	5,534,463	Jul. 9, 1996	Lee et al.			
DN	AC	5,693,541	Dec. 2, 1997	Yamazaki et al.			
DN	AD	5,779,925	Jul. 14, 1998	Hashimoto et al.			
DN	AE	5,830,786	Nov. 3, 1998	Zhang et al.			
DN	AF	5,843,843	Dec. 1, 1998	Lee et al.			
DN	AG	5,913,146	Jun. 15, 1999	Merchant et al.			
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
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Examiner Signature DN	Date Considered 1/23/08
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Notice of References Cited				Application No. 08/769,371		Applicant(s) Yamazaki et al	
				Examiner Ha Nguyen		Group Art Unit 2812	

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	
A	4,502,210	3-5-85	Okumura et al	29	591	
B	5,610,100	3-11-97	Kurino et al	437	195	
C						
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	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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NON-PATENT DOCUMENTS	
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Application No.
08/769,371

Applicant(s)
Yamazaki et al

Examiner
Ha Nguyen

Group Art Unit
2812

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,880,023	3-9-99	Jun	438	652
B	5,869,902	2-9-99	Lee et al	257	773
C	5,846,877	12-8-98	Kim	438	625
D	5,169,803	12-8-92	Miyakawa	438	625
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FOREIGN PATENT DOCUMENTS

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